IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Patent Application of

Koichiro KISHIMA et al.

Application No. 09/940,938

Filed: August 29, 2001

For: OPTICAL SYSTEM, METHOD OF PRODUCING OPTICAL SYSTEM, AND

RESPONSE TO NOTICE AND SUBMISSION OF EXECUTED DECLARATION

Commissioner for Patents Washington, D.C. 20231

OPTICAL PICKUP

Sir:

In response to the Notice to File Missing Parts of Application mailed October 3, 2001(copy of which is returned herewith), attached hereto is a Declaration and Power of Attorney, duly executed by the inventor, referring to the above-identified serial number and filing date in compliance with 37 CFR §1.116. Please charge Deposit Account No. 18-0013 in the amount of \$130.00 in payment of the surcharge for other than a small entity.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 18-0013. A duplicate copy of this letter is enclosed for that purpose.

Respectfully submitted,

Dated: December 11, 2001

Ronald P. Kananen Reg. No. 24,104

Rader, Fishman & Grauer PLLC Suite 501 1233 20TH Street, N.W. Washington, D.C. 20036 Telephone: (202) 955-3750

Customer No. 23353



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE Washington, D.C. 20231

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APPLICATION NUMBER

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Koichiro Kishima

SON-2217

23353

RADER FISHMAN & GRAUER PLLC LION BUILDING

1233 20TH STREET N.W., SUITE 501

WASHINGTON, DC 20036

CONFIRMATION NO. 5834 FORMALITIES LETTER

Date Mailed: 10/03/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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